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- ② Oxoindolizine and oxoindolizinium dyes and processes for their preparation.
- Oxolndolizine and oxolndolizinium dyes are novel compounds useful in image formation such as in taser recording and reading. The dyes are formed by (1) the reaction of a cyclopropenone compound with a pyridine compound and optionally (2) by reaction of the product from (1) with a color-forming compound preferably in the presence of an oxidant.

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OXOINDOLIZINE AND OXOINDOLIZINIUM DYES AND PROCESSES FOR THEIR PREPARATION

This invention relates to new excindolizine and excindedizinium dyes and to their preparation.

Dyes useful in imaging materials are well known in the photographic art. However, of the various types of dyes available or described in the prior art, no class is known which offers the combined advantages of wide absorption ranges and 10 ease of preparation without the need for complex multistep reactions.

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Attempts have been made to react cyclopropenones with heteroaromatic mitrogen compounds as described in, for example, "Reaction of Cyclopro-15 penones With Heterocyclic Nitrogen Compounds" by J. W. Lown and K. Matsumoto, Canadian Journal of Chemistry, Vol. 49, 1971, pages 1165-1175. However, such attempts did not produce excindolizine or oxoindolizinium dyes. None of the known classes of 20 dyes involve preparation by means of a simple reaction of a cyclopropenone with a pyridine compound nor do they involve reactions of (1) color-forming couplers with (2) products derived from reaction of photosensitive cyclopropenones with 25 pyridine compounds. This invention provides dyes which are easily synthesized and which have a variety of uses in imaging technology.

The new excindolizine and excindolizinium dyes provided by this invention are useful in laser recording and reading applications. Some of these dyes are also useful as image dyes in photothermography and thermography.

Oxoindolizine and oxoindolizinium dyes described herein include methyleneoxoindolizine, 35 (4-oxoarylene)oxoindolizine, bis-oxoindolizine, bis(oxoindolizinyl) ethylene, (2- and 4-amino- .

arylene)oxoindolizine and pyridiniumoxoindolizine dyes. These dyes may be in their keto or enol form, but are also provided in their various isomeric and tautomeric forms.

Oxoindolizine dyes according to this invention, in their keto form, have the following structure:

(I)

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R³ R³ R³

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wherein

R¹ and R² are individually straight or branched chain alkyl containing 1 to 18, preferably 1 to 10 carbon atoms; substituted or unsubstituted aryl containing 6 to 20 carbon atoms; or polystyryl having appended indolizine or indolizinium groups, or combinations thereof;

R³ is a divalent group which, with the oxoindolizinone nucleus, completes an organic chromophore;

R* is hydrogen; alkyl containing 1 to 18 carbon atoms; cyano; acyl containing 2 to 20 carbon atoms; carboalkoxy containing 2 to 18 carbon atoms; aminocarbonyl containing 1 to 18 carbon atoms; acyloxy containing 2 to 18 carbon atoms; bromine or chlorine; and

R⁵ is hydrogen; chlorine; bromine or alkyl containing 1 to 18 carbon atoms.

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Oxoindolizinium dyes according to this invention, in their keto form, have the following structure:

(11)

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wherein

X⁹ is an anion, preferably an acid anion;

R⁶ and R⁷ are individually straight or branched chain alkyl containing 1 to 18, preferably 1 to 10 carbon atoms; substituted or unsubstituted aryl containing 6 to 20 carbon atoms; or polystyryl having appended indolizing or indolizinium groups or combinations thereof;

R° is a monovalent group which, with the oxoindolizinium nucleus, completes an organic chromophore;

R' is hydrogen; alkyl containing 1 to 18 carbon atoms; cyano; acyl containing 2 to 20 carbon atoms; carboalkoxy containing 2 to 18 carbon atoms; aminocarbonyl containing 1 to 18 carbon atoms; acyloxy containing 2 to 18 carbon atoms, bromine or chlorine; and

R¹⁰ is hydrogen; chlorine, bromine or alkyl containing 1 to 18 carbon atoms,

Alkyl groups which are suitable for use as R¹, R², R⁴, R⁵, R⁶, R⁷, R⁹ or R¹⁰

35 substituents include, for example, methyl, ethyl and straight or branched chain propyl, butyl, amyl, decyl, dodecyl or lauryl.

Aryl groups which are suitable for use as R^1 , R^2 , R^6 or R^7 substituents include, for example, unsubstituted or substituted phenyl tolyl, xylyl, methoxyphenyl, 4-t-butylphenyl, anisyl, naphthyl or methoxynaphthyl.

Examples of acyl groups which are suitable for use as \mathbb{R}^4 and \mathbb{R}^9 substituents include acetyl, propionyl, 2-ethylhexanoyl and stearoyl.

Examples of acyloxy groups which are suitable for use as R⁴ and R⁹ substituents include acetoxy, propionoxy, butyroxy and lauroyloxy.

Examples of carboalkoxy and aminocarbonyl groups which are suitable for use as R⁴ and R⁹ substituents include, respectively, carbomethoxy,

15 carboethoxy and carbobutoxy, and unsubstituted aminocarbonyl or methylaminocarbonyl, dimethylaminocarbonyl and ethylaminocarbonyl.

Examples of X anions are methanesulfonate, trifluoromethanesulfonate, paratoluenesulfonate, 20 bromide, chloride, iodide and sulfinate.

The R⁵ and R¹⁰ substituents, as defined above, are such that they have no adverse affect upon the desired dye properties of the described excindolizine and excindolizinium compounds.

Oxoindolizine and oxoindolizinium compounds.

Useful R³ and R⁶ groups are, for example

a) substituted or unsubstituted

heterocyclyl or heterocyclylidene groups optionally appended through methine and polymethine groups, such as i) indolizine and indolizinium groups illustrated by structures (I) and (II) appended directly as the

respective R3 and R8 groups or appended through a substituted or unsubstituted methine or polymethine chain, such as containing 1 to 6 methine groups, ii) pyridylidene, iii) pyranyl, iv) pyranylidene, v) thiopyranyl, vi) thiopyranylidene, and vii) julolidyl; including the onium salts of such heterocyclyl and heterocyclylidene groups, such as the immonium, exonium and sulfonium salts; and the acid addition salt derivatives of such heterocyclyl and heterocyclylidene groups; substituted and unsubstituted aminoarylmethine and hydroxyarylmethine, including their tautomers, such as represented by the formula: (Z)(A)(D) wherein Z is a methine or polymethine group, such as containing 1 to 6 methine groups: A is a substituted or unsubstituted aromatic group, such as arylene containing 6 to 20 carbon atoms, for example, phenylene, phenylidene, naphthylene, and naphthylidene; and

 $D = -OR^{129}, -NR^{130}R^{131}, =0,$ or =NR132 wherein R129 is a monovalent cation, preferably hydrogen, R136 and R151 are independently selected from hydrogen, substituted or unsubstituted alkyl,

such as alkyl containing 1 to 20 carbon atoms, alkenyl, such as alkenyl containing 2 to 20 carbon atoms, and aryl, such as aryl containing 6 to 20

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b)

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carbon atoms, including phenyl and tolyl; or, Riss and Risi taken together with (A) form a polycyclic heterocyclic group, such as a 9-julolidyl group:

Riss is alkyl, such as alkyl containing 1 to 20 carbon atoms or aryl such as aryl containing 6 to 20 carbon atoms;

c) a methylene group substituted with at least one, preferably two electronegative groups, such as acyl, cyano, aryl, alkoxycarbonyl, and aminocarbonyl groups; and

a formyl group. is an anion, for example, methanesulfonate, trifluoromethanesulfonate, para-toluenesulfonate, bromide, chloride, iodide, and sulfinate.

20 The term "enol" herein means an enol from the keto form of the dye as well as an enol produced by a protonation reaction or other reaction. For example, typical enols are represented by the formula:

25 (IIA)

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(IIB) 35

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wherein X^0 , R^s , R^s , R^s , R^s , R^s and $R^{s,s}$ are as defined above and R^s is hydrogen or acyl.

The term "acyl" herein means alkylcarbonyl containing 2 to 20 carbon atoms and arylcarbonyl, such as arylcarbonyl containing 7 to 20 carbon atoms.

The term "aryl" means unsubstituted or substituted aryl containing 6 to 20 carbon atoms, such as phenyl, tolyl, xylyl, naphthyl, and methoxyphenyl.

As noted above, the preparations of oxoindolizine and oxoindolizinium dyes of the invention do not involve complicated reaction steps as do the preparations of prior art dyes.

The exclindolizine and excindolizinium dyes of this invention are prepared by

- 1) reaction of a cyclopropenone compound with a pyridine compound, or
- 2) reaction of a cyclopropenone compound with a pyridine compound and then with a color-forming compound, or
- 3) a condensation reaction. The term "condensation reaction" herein means a dehydration involving, for example, an active methylene and a carbonyl group.

A useful pyridine compound does not contain a substituent in the 2- or 6-position on the pyridine ring. Pyridine compounds do not form oxoindolizine or oxoindolizinium dyes when 30 substituted in the 2- or 6-position, that is in the positions next to the ring nitrogen atom.

The excindolizine and excindolizinium dyes herein are alternatively named as indolizinene compounds.

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Many pyridine compounds are useful in forming a dye compound of this invention. Examples of useful pyridine compounds are represented by the formula:

5 (III)

R12 R11

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wherein:

R¹¹ is hydrogen; alkyl containing 1 to 18 carbon atoms; cyano; acyl containing 2 to 20 carbon atoms; carboalkoxy containing 2 to 18 carbon atoms; aminocarbonyl containing 1 to 18 carbon atoms; acyloxy containing 2 to 18 carbon atoms; bromine or chlorine;

R¹² is hydrogen; alkyl containing 1 to 18 carbon atoms; cyano; acyl containing 2 to 20 carbon atoms; benzyl or pyridyl; and R¹³ is hydrogen; chlorine; bromine or alkyl containing 1 to 18 carbon atoms.

Alkyl groups which are suitable for use as 25 R¹¹, R¹² and R¹³ substituents include, for example, methyl, ethyl, decyl and dodecyl.

Acyl groups which are suitable for use as \mathbb{R}^{11} and \mathbb{R}^{12} substituents include acetyl, propionyl, 2-ethylhexanoyl, stearoyl and lauroyl.

Examples of carboalkoxy and aminocarbonyl groups which are useful as R¹¹ substituents include, respectively, carbomethoxy, carboethoxy and carbobutoxy, and unsubstituted aminocarbonyl or methylaminocarbonyl, dimethylaminocarbonyl and carbonyl.

Acyloxy groups which are suitable for use as \mathbb{R}^{11} substituents include acetoxy, propionoxy, butyroxy and lauroyloxy.

Examples of useful pyridine compounds for preparation of dyes according to the invention are:

P-1 4,4'-Dipyridylethylene:

P-2 1-Methyl-4-(4-pyridyl)pyridinium-p-toluenesulfonate:

P-3 Pyridine:

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25 P-4 4-Picoline:

CH,

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P-5 4-Formylpyridine (also known as 4-pyridine-carboxaldehyde):

P-6 4-(4-Azastyryl)-1-methylpyridinium p-toluene sulfonate:

сн,-9х -- сн-сн--

P-7 4-Acetylpyridine:

P-8 3-Acetylpyridine:

P-9 3-Benzylpyridine:

P-10 4-Benzylpyridine:

P-11 3-Bromopyridine:

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P-12 4-(p-chlorobenzyl)pyridine:

P-13 3-Chloropyridine:

P-15 3,5-Dichloropyridine:

P-16 N, N-diethylnicotinamide:

P-17 3-Ethylpyridine:

P-18 4-Ethylpyridine:



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P-19 Ethyl-3-pyridylacetate:

P-20 3,4-Lutidine:

15 P-21 3,5-Lutidine:

P-22 2-Methyl-1,2-di-3-pyridyl-1-oxo-propane:

P-23 N-methylnicotinamide:

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P-24 Methyl nicotinate:

P-25 3-Picoline:

3-Formylpyridine (also known as 3-Pyridinecarboxaldehyde):

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P-27 3-Cyanomethylpyridine (also known as 3-Pyridylacetonitrile):

P-28 3-(3-pyridy1)-1-propanol:

-15-

P-29 Trans-1-(3-pyridy1)-2-(4-pyridy1)ethylene:

P-30 4-Cyanopyridine:

10 CN

15 P-31 1-Benzyl-4-(4-pyridyl)pyridinium bromide:

$$-CH_2+N$$
 $B_2\Theta$

Many cyclopropenone compounds are useful for preparing dyes according to the invention.

Examples of useful cyclopropenones are represented by the formula:

(IV)

0 || |R¹*- C = C -R¹

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wherein:

R¹⁴ and R¹⁵ are individually aryl containing 6 to 20 carbon atoms; aralkenyl containing 6 to 20 carbon atoms; alkyl containing 1 to 18, preferably 1 to 10 carbon atoms; or R¹⁵ and R¹⁵ together represent the carbon atoms necessary to complete a 7- or 8-member cyclic structure. Aryl groups which are suitable for use as

10 R¹⁴ and R¹⁵ substituents include, for example, unsubstituted and substituted phenyl, naphthyl or anthryl, such as methoxyphenyl and methoxynaphthyl.

Aralkenyl groups which are suitable for use as R¹⁴ and R¹⁵ substituents include for example, 15 2,2-diphenylvinyl, 2-phenylvinyl, 2-naphthylvinyl

.5 2,2-diphenylvinyl, 2-phenylvinyl, 2-naphthylviny and 2-methyl-(2-phenylvinyl).

Alkyl groups which are suitable for use as R^{14} and R^{15} substituents include methyl, ethyl, propyl, decyl and lauryl.

20 An example of an R¹⁴ and R¹⁵ cyclic structure is 2,3-pentamethylene.

The aryl group of R¹⁰ and R¹⁵ is unsubstituted or substituted by one or more groups such as:

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 1) alkyl or alkoxy containing 1 to 5 carbon atoms, for example, methyl, ethyl, propyl, isopropyl, butyl, methoxy, ethoxy, propoxy and butoxy;
 - 2) nitro;
- 30 3) aryloxy containing 6 to 10 carbon atoms, such as phenoxy and naphthoxy;

- 4) halogen, for example, chlorine, fluorine, iodine and bromine;
- 5) a homopolymer or copolymer to which the aryl group is attached as a pendant moiety with the polymer having at least one repeating unit represented by the formula:

 $10 \qquad \qquad \leftarrow R^{16} \rightarrow_{\overline{z}}$

wherein:

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R¹⁶ is a lower alkylene group containing from 1 to 5 carbon atoms; and z is at least a portion of the number of repeating units in a polymer chain, such as 10 to 1000.

Examples of useful cyclopropenone compounds, some of which are described in U.S.

20 Patent No. 4,128,422, are:

2,3-diphenylcyclopropenone

2-(2-methoxynaphthy1)-3-phenylcyclopropenone

2-(2-methoxynaphthy1)-3-(4-methoxypheny1)-

cyclopropenone

25 2,3-bis(2-methoxynaphthyl)cyclopropenone

2,3-bis(2,4-dimethylphenyl)cyclopropenone

2,3-bis(4-n-butoxyphenyl)cyclopropenone

2,3-bis(4-methoxyphenyl)cyclopropenone

poly[styrene-co-4-(2-phenylcyclopropenonyl)-

30 styrene]

2,3-bis(4-phenoxyphenyl)cyclopropenone

2-(4-n-butoxyphenyl)-3-phenylcyclopropenone

2-(2,5-dimethylphenyl)-3-phenylcycloprope-

none

35 2-(4-methoxyphenyl)-3-phenylcyclopropenone

2-(2,4-dimethoxyphenyl)-3-phenylcycloprope-

none

	2,3-bis(2,4-dimethoxyphenyl)cyclopropenone
• .	2,3-bis(2-methyl-5-isopropylphenyl)cyclo- propenone
	2,3-bis(3-nitrophenyl)cyclopropenone
5	2,3-bis(2,5-dimethylphenyl)cyclopropenone
	2,3-bis(4-methylphenyl)cyclopropenone
	2,3-di-n-propylcyclopropenone
	2,3-pentamethylenecyclopropenone
	2-(2,4-dimethoxyphenyl)-3-(2,4-dimethyl-
10	phenyl)-cyclopropenone
	2,3-bis(2,5-dimethoxyphenyl)cyclopropenone
	2-(2,4,6-trimethylphenyl)-3-phenylcyclo- propenone
	2-phenyl-3-(2,5-dimethoxyphenyl)cyclopro-
15	penone
	2-phenyl-3-(2,4-dimethylphenyl)cyclopro- penone
	2,3-bis(2,2-diphenylvinyl)cyclopropenone
	2,3-bis(2-methy1-2-phenylviny1)cyclopropenone

The described cyclopropenones are prepared by processes known in the organic synthesis art.

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The cyclopropenone compounds may be spectrally sensitized using procedures and compounds known in the photographic art, such as described in U.S. Patent No. 4,128,422.

The color-forming compound may, for example, be a photographic color-forming coupler as used in silver halide color photography.

Especially useful phenolic, aniline and active methylene couplers for forming dyes according to this invention are those which are known to be useful in the photographic art for producing dye images.

The term "phenolic coupler" herein means a phenolic or naphtholic compound which forms a dye by reaction with a described excindelizine or excindelizinium compound.

Examples of useful phenolic couplers are represented by the formula:

(V)

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15 Wherein:

R^b, R¹⁷, R¹⁸, R¹⁸ and R²⁰ individually represent substituents which do not adversely affect the desired indolizinone and indolizinium dyes, such as by altering the solubility on desired dye hue, and individually represent substituents that are useful in phenolic couplers in the photographic art, such as described in, for example, U.S. Patent No. 3,620,747, the description of which is incorporated herein by reference. In Structure V at least one of R¹⁷, R²⁰ and R^b is hydrogen. For example,

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R^b, R¹⁷ and R¹⁸ are individually hydrogen; hydroxyl; alkyl containing 1 to 22 carbon atoms, such as methyl, ethyl, propyl and decyl; aryl containing 6 to 20 carbon atoms, such as phenyl and tolyl; amino; carboxamido; sulfonamido; sulfamyl; carbamyl; halogen; such as chlorine, fluorine, bromine and iodine; and alkoxy containing 1 to 18 carbon atoms, such as methoxy, ethoxy and propoxy;

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R19 and R20 are individually hydrogen, alkyl containing 1 to 22 carbon atoms, such as methyl, ethyl, propyl and decyl; aryl containing 6 to 20 carbon atoms, such as phenyl and tolyl; amino; carboxamido; sulfonamido, sulfamyl; carbamyl; halogen, such as chlorine, fluorine, bromine and iodine; and alkoxy containing 1 to 18 carbon atoms, such as methoxy, ethoxy and propoxy; or R19 and R20 taken together represent the atoms necessary to complete a benzo group which is unsubstituted or substituted by at least one of the groups given for R17:

Examples of useful phenolic couplers are:

20 C-1 2-Acetylamino-5-methylphenol

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C-2 2-[a-(4'-tert.-amylphenoxy)-butyrylamino]-5-methyl-1-phenol

OH C2H2

-NHCOCH-O--C2H2

C-3

2-cyanoacetamidophenol

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C-4

2-(2-stearoyloxyethyl)iminomethylphenol

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C-5

2-octadecyloxyphenol

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C-6

2-perfluorobutyramido-5-propion-amidophenol

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C-7

2-octadecyl aminocarbonyl-1-naphthol

C-8

2-(2-sulfonoxy-4-stearoylamino anilinocarbonyl)-1-naphthol

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10 C-9

2-(propylaminocarbonyl)-1-naphthol

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C-10

2-[a-(4-tert-amylphenoxy)butyryl amino]phenol

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²⁵ C-11

2-(N-methylanilinocarbonyl)-1-naphthol

C-12 2-[2-(2-acetamidophenyl)ethyl aminocarbonyl)-1-naphthol 5 OH CH, CNH 10 C-13 2-(4-tert-butylbenzamido resorcinol 15 CH, C-14 resorcinol 20 25 2-(2-amyloxybenzamido)resorcinol C-15

C-16

bis-4,4'-resorcinyl sulfide

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OH S HO-

OH

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C-17

2-propinoamidoresorcinol

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C-18

2-benzamidoresorcinol

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C-19

2,6-di-tert-butylphenol

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The term "aniline coupler" herein means an aniline compound or related derivative which forms a dye by reaction with a described oxoindolizine or oxoindolizinium compound.

Examples of useful aniline couplers and derivatives thereof useful in forming excindelizine and excindelizinium dyes according to the invention are represented by the formulas:

10 (VI)

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(VII)

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and

25 (VIII)

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wherein

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R²¹, R²², R²⁵, R²⁶, R³² and
R³³ are individually hydrogen; fluorine;
chlorine; bromine; alkyl containing 1 to 6
carbon atoms; cycloalkyl containing 3 to 10
carbon atoms; alkoxy containing 1 to 4
carbon atoms; phenoxy; alkylthio, such as
alkylthio containing 1 to 4 carbon atoms;
arylthio, such as arylthio containing 6 to
20 carbon atoms; and groups represented by
the formula -NH-X-R³⁶ in which X is -CO-,
-COO or -SO₂-;
R²³, R²⁴, R²⁷ and R³⁴ are
individually selected from hydrogen;
cycloalkyl, such as cycloalkyl containing 6
to 20 carbon atoms; straight or branched
alkenyl containing 2 to 10 carbon atoms;

individually selected from hydrogen; cycloalkyl, such as cycloalkyl containing to 20 carbon atoms; straight or branched alkenyl containing 2 to 10 carbon atoms; alkyl containing 1 to 18 carbon atoms, or R²³ and R²⁴ together represent the atoms necessary to complete a 5- or 6-member heterocyclic ring with the nitrogen atom to which they are bonded, such as atoms completing a pentamethylene, ethyleneoxyethylene or ethylenesulfonylethylene group which forms a ring or a julolidyl group; or

 \mathbb{R}^{23} and \mathbb{R}^{24} individually can be $-S-\mathbb{R}^{37}$; wherein

R³⁷ is alkyl containing 1 to 6 carbon atoms, phenyl, phenyl substituted with halogen, alkoxy containing 1 to 6 carbon atoms, alkanoylamino containing 1 to 6 carbon atoms, cyano or lower alkoxycarbonyl, pyridyl, pyrimidinyl, benzoxazolyl, benzimidazolyl, benzothiazolyl, triazolyl; SO₂R³⁸; -COOR⁴⁸; -OXR⁴¹; -NH-X-R⁴²; -X-R⁴³; -OCO-R⁴⁴;

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-CONR 5 R 5 : -SO2NHR 57: -SO2NR**R**: R28, R29, R30, R308, R31 and R*5 are individually selected from hydrogen and alkyl containing 1 to 6 carbon atoms; 5 R16 is alkyl containing 1 to 6 carbon atoms or alkyl substituted by a group that does not adversely affect the desired indolizinone or indolizinium dye, such as halogen, hydroxy, phenoxy, aryl, such as aryl 10 containing 6 to 20 carbon atoms, cyano, cycloalkyl, such as cycloalkyl containing 6 to 12 carbon atoms, alkylsulfonyl containing 1 to 6 carbon atoms, alkylthic containing 1 to 6 carbon atoms, alkanoyloxy containing 1 15 to 6 carbon atoms and alkoxy containing 1 to 6 carbon atoms; when X is -CO-, then R²⁶ is also selected from hydrogen, amino, alkenyl containing 2 to 6 carbon atoms, alkylamino containing 1 to 6 carbon atoms. 20 alkylcarbamoyl containing 1 to 6 carbon atoms, dialkylamino containing 2 to 12 carbon atoms, arylamino containing 6 to 12 carbon atoms, aryl containing 6 to 20 carbon atoms and furyl. 25

When R²³, R²⁴, R²⁷ or R³⁴ are alkyl, the alkyl is unsubstituted or substituted by, for example, hydroxy, halogen, cyano, alkoxy containing 1 to 6 carbon atoms, alkoxyalkoxy containing 2 to 8 30 carbon atoms, hydroxyalkoxy containing 1 to 4 carbon atoms, succinimido, glutarimido, phenylcarbamoyloxy, phthalimido, phthalimidino, 2-pyrrolidono, cyclohexyl, phenoxy, phenyl or phenyl substituted by alkyl containing 1 to 6 carbon atoms, alkoxy containing 1 to 6 carbon atoms, alkoxycarbonyl containing 2 to 6 carbon atoms, alkoxycarbonyl containing 2 to 6 carbon atoms; sulfamoyl; alkylsulfamoyl

containing 1 to 6 carbon atoms; vinylsulfony1; acrylamido; alkylsulfonamido, such as alkylsulfonamido containing 1 to 6 carbon atoms; phenylsulfonamido; alkoxycarbonylamino containing 1 to 6 carbon atoms; alkylcarbamoyloxy containing 1 to 6 carbon atoms; alkoxycarbonyloxy containing 1 to 6 carbon atoms; alkenylcarbonylamino containing 3 to 6 carbon atoms; groups represented by the formula:

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wherein

Y is -NH-, -N-alkyl containing 1 to 6 carbon 20 atoms, -0-, -S-, or -CH₂O-; R**, R**, R*1, R*2, R** and R** are individually selected from unsubstituted alkyl containing 1 to 6 carbon atoms and alkyl containing 1 to 6 carbon atoms 25 substituted by at least one group that does not adversely affect the desired oxoindolizine or oxoindolizinium dye, such as halogen, hydroxy, phenoxy, aryl containing 6 to 20 carbon atoms, cyano, cycloalkyl 30 containing 6 to 12 carbon atoms, alkylsulfonyl containing 1 to 6 carbon atoms,

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alkylthic containing 1 to 6 carbon atoms, alkanoyloxy containing 1 to 6 carbon atoms; and alkoxy containing 1 to 6 carbon atoms, and when X is -CO-, then R¹, R² and R² are also individually selected from hydrogen, amino, alkenyl containing 2 to 6 carbon atoms, alkylamino containing 1 to 6 carbon atoms, alkylamino containing 2 to 6 carbon atoms, dialkylamino containing 2 to 6 carbon atoms, arylamino containing 2 to 6 carbon atoms, arylamino containing 6 to 20 carbon atoms arylamino containing 6 to 20 carbon atoms or furyl;

R's, R's, R'7, R's and R's are individually selected from hydrogen, unsubstituted alkyl containing 1 to 6 carbon atoms and alkyl containing 1 to 6 carbon atoms substituted by at least one group that does not adversely affect the desired oxoindolizine or oxoindolizinium dye, such as halogen, hydroxy, phenoxy, aryl containing 6 to 20 carbon atoms, cyano, cycloalkyl containing 6 to 12 carbon atoms, alkylsulfonyl containing 1 to 6 carbon atoms, alkylthio containing 1 to 6 carbon atoms, alkanoyloxy containing 1 to 6 carbon atoms and alkoxy containing 1 to 6 carbon atoms, cyano, alkanoyloxy containing 1 to 6 carbon atoms, phenoxy, phenoxy substituted by at least one of alkyl containing 1 to 6 carbon atoms, alkoxy containing 1 to 6 carbon atoms, and halogen.

The term "cycloalkyl" herein means an unsubstituted cycloalkyl group or a cycloalkyl group containing substituents that do not adversely affect an excindolizine or excindolizinium dye according to the invention. The cycloalkyl group, for example,

contains 3 to 7 carbon atoms and is unsubstituted or substituted by one or two groups selected from alkyl containing 1 to 4 carbon atoms, hydroxyl, alkoxy containing 1 to 4 carbon atoms, phenyl or phenyl containing an alkyl group containing 1 to 4 carbon atoms, alkoxy containing 1 to 4 carbon atoms, halogen, alkanoylamino, cyano and alkoxycarbonyl, such as alkoxycarbonyl containing 1 to 4 carbon atoms.

10 Examples of useful aniline couplers are as follows:

AN-1

N, N-dimethylaniline

CH, CH

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AN-2

AN-3

fulolidine



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N,N-diethylaniline

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CH₂ CH₂—N—CH₂ CH₃

AN-4

N-phenylpiperidine

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Examples of useful active methylene couplers for forming dyes according to the invention are represented by the formula:

15 (IX)

20 wherein:

Y1 and Y2 are the same or different. electronegative groups, such as aryl containing 6 to 20 carbon atoms, such as phenyl and naphthyl; cyano; acyl containing 2 to 18 carbon atoms, such as acetyl, 25 propionyl and butyryl; carboalkoxy containing 1 to 18 carbon atoms, such as carbomethoxy, carboethoxy, carbobutoxy and carboamyloxy; aminocarbonyl containing 1 to 30 18 carbon atoms, such as unsubstituted aminocarbonyl, methylaminocarbonyl, dimethylaminocarbonyl and ethylaminocarbonyl; or oxo-, thio- or selenopyrylium; or oxoindolizinium; or Y2 35 . is hydrogen; and

Y' is hydrogen or halogen, such as chlorine, bromine and iodine.

Preferred active methylene couplers are ketomethylene couplers. Other useful active methylene couplers include those known to be useful in the photograpic art, such as pyrazalinone and coumarin couplers.

Examples of preferred ketomethylene couplers are represented by the formula:

(X)

10

wherein:

A⁵ and A⁶ are individually alkyl containing
1 to 18 carbon atoms, such as methyl, ethyl,
propyl and amyl; aryl containing 6 to 14 carbon
atoms, such as phenyl, maphthyl and anthryl;
hydroxy; alkoxy, such as alkoxy containing 1 to 6
carbon atoms; amino; substituted amino; or thiol.

Ketocarboxamides are examples of especially useful ketomethylene couplers for forming dyes according to the invention. Examples of useful ketocarboxamides are represented by the formula:

²⁵ (XI)

30 wherein:

35

A' and A' are individually alkyl containing 1 to 18 carbon atoms, such as methyl, ethyl, propyl, butyl, amyl, decyl and stearyl; and aryl containing 6 to 14 carbon atoms, such as phenyl, naphthyl, and anthryl; carbonyl; amino or vinyl.

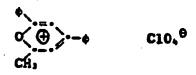
Other particularly useful active methylene couplers are alkyl flavylium salts and alkyl pyrylium salts, such as described in U.S. Patents 3,141,770 and 3,250,615.

Examples of useful methylene couplers include the following:

M-1 2,6-Diphenyl-4-methylpyrylium perchlorate

10 CH, C10, 6

15 M-2 2,4-Diphenyl-6-methylpyrylium perchlorate



20

5

M-3 2,6-Diphenyl-4-methylthiopyrylium perchlorate

25 S CH, C10.

M-4 4-Methyl-2-phenylflavylium perchlorate

○ 0 — CH, C10.6

35

M-5 2-Methyl-4-phenylflavylium perchlorate

5

C10

10

M-6

4-Methyl-2-phenylthioflavylium perchlorate

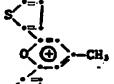
C10.8

15

M-7

2,6-di-(2-thiopheneyl)-4-methylpyrylium fluoborate

20



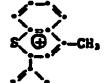
BF.

25

M-8

2-(4-methoxyphenyl)-4-methylthioflavylium perchlorate

30



C10.

35

OCH

H-9

2,4-pentanedione

5

M-10

dibenzoylmethane

10

15 M-11

1-anilino-3-phenyl-1,3-propanedione

20

H-12

25

M-13

1-tert-buty1-3-(4-methoxy anilino)-1,3-propanedione

M-14 malononitrile

CH₂ (CN)₂

M-15 phenylacetonitrile

10 - CH₂ - CN

H-16 phenylacetamide

15 CH₂ - CH₂ - CNH₂

N-phenyl acetylacetamide

O
O

SHOT O SHOT O SHOT OF S

25 M-18 bis-nitrophenylmethane CH₂(C₅H₄NO₂)₂

M-19 methyl cyanoacetate

O
CH₁OC - CH₂ - CN

M-20

2,2-dimethyl-m-dioxane-4,6-dione

5

10

M-21

cyanoacetamide

NC - CH₂ - CNH₂

15

The designation ϕ herein means a phenyl

group.

Other particularly useful active methylene couplers are alkyl indolizinonium salts represented by the formula:

(XII)

25

20

wherein

30

R^{\$0} and R^{\$1} are individually aryl containing 6 to 14 carbon atoms, such as phenyl, naphthyl, anthryl, methoxyphenyl and methoxynaphthyl; aralkenyl containing 6 to 14 carbon atoms, such as 2,2-diphenylvinyl, 2-phenylvinyl, 2-naphthylvinyl and 2-methyl(2-phenyl-

vinyl); alkyl containing 1 to 20 carbon atoms, such as methyl, ethyl, propyl, decyl and lauryl; or R⁵⁰ and R⁵¹ together represent the carbon atoms necessary to complete a 7- or 8-member cyclic structure; and

R³² is a substituent which does not interfere with the coupling action of the indolizinium salt and does not adversely affect the desired properties of a resulting oxoindolizinium or oxoindolizine dye, such as hydrogen; carboxyl; alkyl containing 1 to 18 carbon atoms, for example, methyl, ethyl, propyl and dodecyl; cyano; and, aryl containing 6 to 20 carbon atoms, such as phenyl and xylyl;

X⁰ is an anion as defined above, such as CF, SO, ⁰, Br⁰ and BF. ⁰

Another method of preparation of oxoindolizine and oxoindolizinium dyes within Structures I and II comprises condensation of suitable indolizinols, indolizinones or indolizinonium ions with active -CH= compounds which complete an organic chromophore. Such indolizinols (IA), indolizinones (IB) and indolizinonium (IC) ions are represented by the formulas:

(AI)

5

HO I N R S

10 (IB)

Res CHO

and

15

(IC)

20

30

35

CH₂-R⁴

O + R⁴

R⁴

R⁴

25 Wherein

X⁶ is an anion as defined above;

R⁵³, R⁵⁴, R⁵⁸, R⁵⁹, R⁶³ and

R⁶⁴ are individually aryl containing 6 to
14 carbon atoms, such as phenyl, naphthyl,
anthryl, methoxyphenyl and methoxynaphthyl;
aralkenyl containing 6 to 14 carbon atoms,
such as 2,2-diphenylvinyl, 2-phenyl- vinyl,
2-naphthylvinyl and 2-methyl-(2-phenylvinyl); alkyl containing 1 to 18 carbon
atoms, such as methyl, ethyl, propyl, decyl
and eicosyl; or R⁵³ and R⁵⁴, R⁵⁸ and

-40-

Rss, and Rss and Rss together represent the carbon atoms necessary to complete a 7- or 8-member cyclic structure; R⁵⁵, R⁶⁰ and R⁶⁵ are individually 5 hydrogen, alkyl containing 1 to 18 carbon atoms, such as methyl, ethyl, and dodecyl; cyano; acyl containing 2 to 18 carbon atoms, such as acetyl, propionyl, 2-ethylhexanoyl and stearoyl; carboalkoxy 10 containing 1 to 18 carbon atoms, such as carbomethoxy, carboethoxy and carbobutoxy; aminocarbonyl, such as unsubstituted aminocarbonyl, methylaminocarbonyl, dimethylaminocarbonyl and ethylaminocarbonyl; acyloxy containing 2 to 15 18 carbon atoms, such as acetoxy, propionoxy, butyroxy and lauroyloxy; bromine and chlorine: R56 is hydrogen; alkyl containing 1 to 20 18 carbon atoms, such as methyl, ethyl, propyl and dodecyl; acyl containing 2 to 18 carbon atoms, such as acetyl, propionyl, butyryl and lauryl; benzyl or pyridyl; R57, R62 and R67 are individually 25 hydrogen; chlorine; bromine; or. alkyl containing 1 to 18 carbon atoms, such as methyl, ethyl, propyl and dodecyl; R⁶¹ is alkyl containing 1 to 18 carbon atoms, such as methyl, ethyl, propyl, butyl 30 and decyl; and Res is alkyl containing 1 to 18 carbon atoms; hydrogen; carbonyl; alkoxycarbonyl, such as methoxycarbonyl, ethoxycarbonyl and propoxycarbonyl; cyano; and carboxamido. 35 Such indolizinols (IA), indolizinones (IB) and inidolizinonium (IC) ions are prepared by reacting a

cyclopropenone with a pyridine compound as described.

The term "active -CH= compounds" herein means aldehyde and ketone compounds which are capable of condensing with the active methylene of the indolizinonium ion (IC) and which have

- 5 electropositive or electronegative substituents
 which complete a chromophore with the indolizinonium
 ion (IC). The term "active -CH= compounds"
 includes active methylene compounds that are capable of
 condensing with indolizinols (IA) or indolizinones
- 10 (IB) to complete a chromophore. Examples of useful "active -CH= compounds" are as follows:

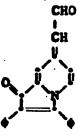
1,2-diphenyl-7-formyl-3-indolizinol

15

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7-formylmethylidene-2,3-diphenyl-1(7H)-indolizinone

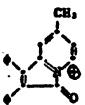
25



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1,2-diphenyl-7-methyl-3-indolizinonium trifluoromethane sulfonate

35



CF. 50.

7-cyanomethyl-2,3-diphenyl-1-indolizinonium trifluoromethane sulfonate

CF, SO, 0

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15

2,3-diphenyl-6-methyl-1-indolizinonium trifluoromethane sulfonate

CF, SO, 8

20

p-dimethylaminocinnamaldehyde

25

30

p-hydroxybenzaldehyde



p-hydroxycinnamaldehyde

CH CHO

10

15

1-dimethylamino-4-formyl naphthalene

HC, CH

20

p-nitrobenzaldehyde

NO.

30

25

4-dimethylaminobenzaldehyde

CH₁ CH₁

1,2-dimethyl-6-formyl-1,2,3,4-tetrahydro-quinoline

10

15

2,6-diphenyl-4-formylmethylidene-(4H)pyran

20

25

9-formyljulolidene

30

1-chloroethyl-6-formyl-2,2,4,7-tetra-methyl-1,2,3,4-tetrahydroquinoline

Many oxoindolizine dyes according to the invention are formed by the reaction of a phenolic coupler with an appropriate oxoindolizine. Examples of useful oxoindolizine dyes that are formed by reaction of phenolic couplers with a suitable oxoindolizine are represented by the formulas:

(XIII)

and (XIV)

0

0

R⁷2-1 0-R⁷6

R⁷1-1 0-R⁶⁸

wherein:

20

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R⁵⁸ is hydrogen or a substituent that does not adversely affect desired dye properties, such as alkyl containing 1 to 18 carbon atoms; cyano; acyl containing 2 to 20 carbon atoms; carboalkoxy containing 2 to 18 carbon atoms; aminocarbonyl containing 1 to 18 carbon atoms; acyloxy containing 2 to 18 carbon atoms; bromine or chlorine;

R⁵⁰ is hydrogen or a substituent that does not adversely affect desired dye properties, such as chlorine, bromine or alkyl containing 1 to 18 carbon atoms;

R⁷⁰ and R⁷¹ are individually alkyl, containing 1 to 18, preferably 1 to 10 carbon atoms, or aryl containing 6 to 20 carbon atoms;

-46-

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R⁷² and R⁷³ are individually hydrogen; alkyl containing 1 to 22 carbon atoms; aryl containing 6 to 20 carbon atoms; amino; carboxamido; sulfonamido; sulfamyl; carbamyl; halogen, including chlorine, fluorine, bromine and iodine; alkoxy containing 1 to 18 carbon atoms, or R⁷² and R⁷³ together represent the atoms necessary to complete a benzo group which is unsubstituted or substituted by at least one of the groups given above for R¹⁷; and

R^{7*} and R⁷⁵ are individually hydrogen; hydroxy; alkyl containing 1 to 22 carbon atoms; aryl containing 6 to 20 carbon atoms; amino; carboxamido; sulfonamido; sulfamyl; carbamyl; halogen, including chlorine, fluorine, bromine and iodine; or alkoxy containing 1 to 18 carbon atoms.

Examples of alkyl groups which are suitable for use as R⁶⁸ to and including R⁷⁵ substituents include, where conforming to the above carbon length descriptions, methyl, ethyl straight or branched chain propyl, butyl, decyl, dodecyl and eicosyl.

Acyl groups which are suitable for use as an \mathbb{R}^{68} substituent include acetyl, propionyl, 2-ethylhexanoyl and stearoyl.

Examples of carboalkoxy and aminocarbonyl groups which are suitable for use as an R⁶⁸ substituent include, respectively, carbomethoxy, carboethoxy and carbobutoxy, and unsubstituted aminocarbonyl or methylaminocarbonyl, dimethylaminocarbonyl, and ethylaminocarbonyl.

Acyloxy groups which are suitable for use as an \mathbb{R}^{68} substituent include acetoxy, propionoxy, butyroxy and lauroyloxy.

-47-

Examples of aryl groups which are suitable for use as R⁷⁰ to and including R⁷⁵ substituents are unsubstituted and substituted groups such as phenyl, tolyl, xylyl, methoxyphenyl,

4-t-butylphenyl, anisyl, naphthyl and methoxynaphthyl.

Examples of alkoxy groups which are suitable for use as \mathbb{R}^{72} to and including \mathbb{R}^{75} substituents are methoxy, ethoxy and propoxy.

An example of a useful class of oxoindolizine dyes prepared from phenolic couplers are those derived from resorcinolic couplers.

Resorcinolic couplers form compounds wherein R⁷⁵ is hydroxy.

Examples of oxoindolizine dyes prepared from phenolic couplers are as follows:

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1,2-diphenyl-7-(4-oxo-2-hydroxy-1-phenylidene)-3(7H)-indolizinone

1,2-diphenyl-7-(4-oxo-l-naphthylidene)-3(7H)-indolizinone

1,2-diphenyl-6-methyl-7-(4-oxo-1-phenylidene)-3(7H)-indolizinone

.

2,3-diphenyl-6-formyl-7-(4-oxo-1-phenylidene)1-(7H)-indolizinone

5

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6-diethylaminocarbony1-2,3-dipheny1-(4-oxo-1-phenylidene)-1(7H)-indolizinone

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1,2-diphenyl-6-ethyl-7-(4-oxo-1-phenylidene)-3(7H)-indolizinone

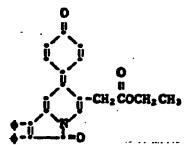
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30

6-cyanomethyl-1,2-diphenyl-7-(4-oxo-1-phenylidene)-3(7H)-indolizinone

1,2-diphenyl-6-(3-hydroxypropyl)-7-(4-oxo-1-phenylidene)-3(7H)-indolizinone

1,2-diphenyl-6-ethoxycarbonylmethyl-7-(4-oxo-1-phenylidene)-3(7H)-indolizinone



6,8-dimethyl-1,2-diphenyl-7-(4-oxo-1-phenylidene)-3(7H)-indolizinone

2,3-diphenyl-6-methylaminocarbonyl-7-(4-oxo-1-phenylidene)-1(7H)-indolizinone

2.3-diphenyl-6-[2-methyl-2-(3-pyridyl)-propionyl-7-(4-oxo-1-phenylidene)]-1(7H)-indolizinone

1,2-bis{6,6'-[2,3-diphenyl-7-(4-oxo-l-phenylidene)-1(7H)-indolizinonyl]}-2-methyl-1-oxopropene

6-acetyl-2,3-diphenyl-7-(4-oxo-phenyl-idene)-1(7H)-indolizinone

:2

6-benzyl-1,2-diphenyl-7-(4-oxo-1-phenyl-idene)-3(7H)-indolizinone

6-chloro-1,2-diphenyl-7-(4-oxo-1-phenyl-idene)-3(7H)-indolizinone

6-cyano-2,3-diphenyl-7-(4-oxo-1-phenyl-idene)-1(7H)-indolizinone

35

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6-(4-azastyryl)-1,2-diphenyl-7-(4-oxo-1-phenylidene)-3(7H)-indolizinone

2,3-diphenyl-7-(2-hydroxy-4-oxo-3-pival-amido-1-phenylidene)-1(7H)-indolizinone

٠.:

6-(4-azastyryl)-7-[3-(4-tert-butylbenz-amido)-2-hydroxy-4-oxo-1-phenylidene]-1,2-diphenyl-3(7H)-indolizinone

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7-[3-(4-tert-butylbenzamido)-2-hydroxy-4-oxo-1-phenylidene]-1,2-diphenyl-6-(3hydroxypropyl)-3(7H)-indolizinone

7-[3-(4-tert-butylbenzamido)-2-hydroxy-4-oxo-1-phenylidene]-6-carbomethoxy-2,3-diphenyl-1(7H)-indolizinone

7-[3-(4-tert-butylbenzamido)-2-hydroxy-4-oxo-1-phenylidene]-2,3-diphenyl-6-methyl-carbamyl-1(7H)-indolizinone

5

10

7-[3-(4-tert-butylbenzamido)-2-hydroxy-4-oxo-1-phenylidene]-1,2-diphenyl-6-methyl-3(7H)-indolizinone

CH,

CH,

- CH,

ÜÜ OH

20

25

7-[3-(4-tert-butylbenzamido)-2-hydroxy-4-oxo-1-phenylidene]-6,8-dimethyl-1,2-diphenyl-3(7H)-indolizinone

30 CH₃

7-[3-(4-tert-butylbenzamido)-2-hydroxy-4-oxo-1-phenylidene]-6-diethylcarbamyl-2,3-diphenyl-1(7H)-indolizinone

6-benzyl-7-[3-(4-tert-butylbenzamido)-2-hydroxy-4-oxo-1-phenylidene]-1,2-diphenyl-3(7H)-indolizinone

1,2-bis-{6,6'-{7-{3-(4-tert-butyl-benzamido)-2-hydroxy-4-oxo-1-phenyl-idene]}-2,3-diphenyl-1(7H)-indoli-zinonyl-}2-methyl-1-oxo-propane

2,3-diphenyl-7-[3-(4-tert-butylbenzamido)-2-hydroxy-4-oxo-1-phenylidene]-1(7H)-indolizinone

:5

7-[3,5-di-tert-butyl-4-oxo-1-phenylidene]-1,2-di-(4-methoxyphenyl)-3(7H)-indolizinone

7-[3,5-di-tert-butyl-4-oxo-1-phenylidene]-2,3-di-n-propyl-1(7H)-indolizinone

Further examples of oxoindolizine dyes
30 prepared from phenolic couplers are listed below.
Where available, \(\lambda \text{max} \) values, in nanometers (nm),
are reported in parentheses:

Oxoindolizinium dyes according to the invention are also formed from reaction of an aniline coupler with an oxoindolizine compound.

Such dyes are represented by the structural formulae:

(XVI)

(XVII)

-66-

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R⁷⁶, R⁷⁷, R⁸², R⁸³, R⁹⁰ and
R⁹¹ are individually aryl containing 6 to
14 carbon atoms, such as phenyl, naphthyl,
anthryl, methoxyphenyl and methoxynaphthyl;
aralkenyl containing 6 to 14 carbon atoms,
such as 2,2-diphenylvinyl, 2-phenyl- vinyl,
2-naphthylvinyl and 2-methyl-(2-phenylvinyl); alkyl containing 1 to 18 carbon
atoms, such as methyl, ethyl, propyl, decyl
and eicosyl; or R⁷⁶ and R⁷⁷, R⁸² and
R⁸³, R⁹⁰ and R⁹¹ together represent
the carbon atoms necessary to complete a 7or 8-member cyclic structure,

R⁷⁸, R⁸⁴ and R⁹² are individually hydrogen, alkyl containing 1 to 18 carbon atoms, such as methyl, ethyl, and dodecyl; cyano; acyl containing 2 to 18 carbon atoms, such as acetyl, propionyl, 2-ethylhexanoyl and stearoyl; carboalkoxy containing 2 to 18 carbon atoms such as carbomethoxy, carboethoxy and carbobutoxy; aminocarbonyl, methylaminocarbonyl, dimethylaminocarbonyl and ethylaminocarbonyl; acyloxy containing 2 to 18 carbon atoms, such as acetoxy, propionoxy, butyroxy and lauroyloxy; bromine and chlorine;

R⁷⁹, R⁸⁵ and R⁹³ are individually hydrogen; chlorine; bromine; or, alkyl containing 1 to 18 carbon atoms, such as methyl, ethyl, propyl and dodecyl;

R**, R*1, R** and R** are individually hydrogen or substituents that do not adversely affect the desired indolizinium dye, such as alkyl containing 1 to 18 carbon atoms, such as methyl,

-67-

ethyl, propyl, decyl, and lauryl;
cycloalkyl, such as cycloalkyl containing 6
to 20 carbon atoms; straight or branched
chain alkenyl containing 2 to 10 carbon
atoms; or R** and R** together
represent the atoms necessary to complete a
5- or 6-member heterocyclic ring with the
nitrogen atom to which they are bonded,
such as atoms completing a pentamethylene,
ethyleneoxyethylene or ethylenesulfonylethylene group which forms a ring, or a
julolidyl group;

R**, R***, R***, R***, R*** and
R*** are individually hydrogen; fluorine;
chlorine; bromine; alkyl containing 1 as 6

R¹⁰², R¹⁰⁰, R⁰⁶, R⁰⁷, R¹⁰¹ and R¹⁰² are individually hydrogen; fluorine; chlorine; bromine; alkyl containing 1 to 6 carbon atoms; cycloalkyl containing 5 to 12 carbon atoms; alkoxy containing 1 to 4 carbon atoms; phenoxy; alkylthio, such as alkylthio containing 1 to 4 carbon atoms; arylthio, such as arylthio containing 6 to 20 carbon atoms; and groups represented by the formula -NH-XR³⁶ in which X is -CO-, -COO- or -SO₂-, wherein R³⁶ is as defined above; and

R^{8 9}, R^{9 8}, R^{9 6}, R^{9 7}, R^{9 7} and
R^{9 8} are individually hydrogen and alkyl
containing 1 to 6 carbon atoms; and
X is an anion as defined above,
such as CF₃SO₂, BF₄ and

Br⁰.

Examples of related oxoindolizinium and oxoindolizine dyes are:

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7-(2-N, N-diethylamino-l-ethenyl)-2,3-di- (4-methoxyphenyl)-l-oxoindolizinium fluoborate

7-(2-N,N-diethylamino-1-ethenyl)-1,2-diphenyl-3-oxoindolizinium iodide

2,3-di-(4-methoxyphenyl)-7-dimethylamino-1-oxoindolizinium iodide

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Further examples of oxoindolizinium dyes are listed below. Where available, \(\lambda\)max values, in nanometers (nm), are reported in parentheses. In instances where two \(\lambda\)max values are reported, both value intensities are approximately equal.

Many useful oxoindolizine dyes according to the invention are formed from the reaction of an active methylene coupler with a suitable oxoindolizine compound. Especially useful 5 oxoindolizines are dyes formed from the reaction of ketomethylene couplers, methylpyrylium couplers and methylindolizinium couplers with appropriate oxoindolizine compounds. Examples of useful indolizinone dyes formed from active methylene couplers are represented by the formula:

(XVIII) and (XVIIIA)
$$R^{1 \cdot 6} - C - R^{1 \cdot 5}$$

$$R^{1 \cdot 6} - C - R^{1 \cdot 5}$$

$$R^{1 \cdot 6} - C - R^{1 \cdot 5}$$

$$R^{1 \cdot 6} - C - R^{1 \cdot 5}$$

$$R^{1 \cdot 6} - C - R^{1 \cdot 5}$$

wherein:

20 R103 and R104 are individually aryl containing 6 to 20 carbon atoms, such as phenyl, naphthyl, anthryl, methoxyphenyl and methoxynaphthyl; aralkenyl containing 6 to 20 carbon atoms, such as 25 . 2,2-diphenylvinyl, 2-phenylvinyl, 2-naphthylvinyl and 2-methyl-(2-phenylvinyl); alkyl containing 1 to 18 carbon atoms, such as methyl, ethyl, propyl, decyl and lauryl; or R143 30 and R100 together represent the carbon atoms necessary to complete a 7- or 8-member cyclic structure; R165 and R186 are individually electronegative groups, such as aryl 35 containing 6 to 20 carbon atoms, such as

phenyl and naphthyl; cyano; acyl containing

-73- .

2 to 18 carbon atoms, such as acetyl, propionyl and butyryl; carboalkoxy containing 2 to 18 carbon atoms, such as carbomethoxy, carboamyloxy and carbobutoxy; aminocarbonyl containing 2 to 18 carbon atoms such as unsubstituted aminocarbonyl, methylaminocarbonyl, dimethylaminocarbonyl and ethylaminocarbonyl; and R¹⁰⁵ is alternatively hydrogen.

Examples of oxoindolizine dyes formed from active methylene couplers are shown below. Where available, λmax values, in nanometers (nm), are reported in parentheses:

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7-(diacetylmethylidene)-1,2-diphenyl-3-(7H)-indolizinone

(410, 480)

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7-(dibenzoylmethylidene)-2,3-diphenyl-1-(7H)-indolizinone

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7-(anilinocarbonyl benzoylmethylidene)-2,3-diphenyl-1(7H)-indolizinone

30

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6-cyano-7-(diacetylmethylidene)-2,3-diphenyl)-1(7H)-indolizinone

7-(dicyanomethylidene)-2,3-diphenyl-1(7H)-indolizinone

7-(1-cyano-1-phenylmethylidene)-1,2-diphenyl-3(7H)-indolizinone

7-(1-aminocarbonyl-1-phenylmethylidene)-2,3-diphenyl-1(7H)-indolizinone

7-(dicarboethoxymethylidene)-2,3-diphenyl-1(7H)-indolizinone

2,3-diphenyl-7-(2,2-dimethyl-4,6-dioxo-1,3-dioxanylidene)-1(7H)-indolizinone

Examples of oxoindolizinium dyes
formed from active methylene couplers are
represented by the formula:

(XIX)

20

CH CH

25

30

35

wherein

X⁰ is an anion as defined above; R¹⁰⁸ and R¹⁰⁹ are individually the same as R¹⁰⁸ and R¹⁰⁴; and

Z represents the atoms necessary to complete a chromophore, such as the carbon, hydrogen, oxygen and nitrogen atoms necessary to complete a heterocyclic group, such as a pyranylidene, indolizinylidene, thiopyranylidene, selenopyranylidene, coumarinylidene, or pyrazolinonylidene group.

Examples of oxoindolizinium dyes formed

from such active methylene couplers are as follows:

2,3-dipheny1-7-[(2,6-dipheny1-4-pyranylidene)methyl]-1-oxoindolizinium perchlorate

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2,3-diphenyl-7-[(2,3-diphenyl-7-1(7H)-indolizinonylidene)methyl]-1-indolizinonium trifluoromethane sulfonate

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2,3-diphenyl-7-[(2,6-diphenyl-4-thio-pyranylidene)methyl]-1-indolizinonium trifluoromethane sulfonate

Another class of oxoindolizine dyes according to the invention is represented by the formula:

(XX)

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wherein:

 R^{108} and R^{109} are individually aryl containing 6 to 14 carbon atoms; or, alkyl containing 1 to 20 carbon atoms;

R¹¹⁰ is CH, phenylene or naphthylene; R¹¹¹ is phenylene or naphthylene; and n and m are individually 0 or 1.

Examples of aryl groups which are suitable for use as R^{1+8} or R^{1+9} substituents include unsubstituted or substituted phenyl, naphthyl and anthryl.

Examples of alkyl groups which are suitable for use as R^{100} or R^{100} substituents include methyl, ethyl, propyl, t-butyl, decyl, lauryl and eicosyl.

In oxoindolizine dyes according to the formula containing R¹¹⁰ and R¹⁰⁰, the oxoindolizine moiety represents a group completing an organic chromophore to produce the desired dye. Examples of such compounds are:

1,2-bis[7-(1,2-diphenyl-3(7H)-indolizinonylidene)]ethane

1,4-bis[7-(1,2-diphenyl-3(7H)-indolizinonylidene)]-2,5-cyclohexadiene

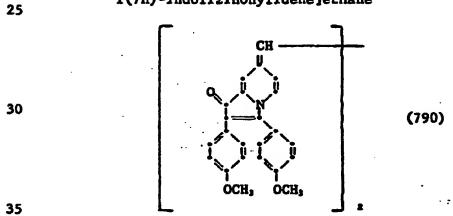
1,4-bis[7-(1,2-diphenyl-3(7H)indolizinonylidene)]- 2,3-benzo-2,5cyclohexadiene

7,7'-bis[1,2-di-n-propyl-3(7H)-indolizonylidene]

5

7,7'-bis-[1,2-pentamethylene-3(7H)-indolizonylidene]

1,2-bis-[2,3-di-(4-methoxyphenyl)-1(7H)-indolizinonylidene]ethane



Examples of other dyes within the above structures (I) and (II) are as follows:

15

N-benzyl-4-{7-[2,3-di(4-methoxyphenyl)-3-indolizinolyl] pyridinium bromide

25

CH₂

Br⁰

(590)

CH₃

OCH₃

OCH₃

OCH₃

7-[4-(N-benzylpyridylidene)]-2,3-diphenyll-hydroxy indolizinium chloride

7-[4-(N-benzylpyridylidene)]-2,3-diphenyl-1-indolizinone

Another class of dyes according to the 15 invention is represented by the formula:

$$(XXI)$$

$$(CR^{11})_{p}(CR^{11})_{q}(CR^{11})_{r}(CR^{117})_{g}(CR^{1178})_{t}-R^{118}$$

$$(CR^{1178})_{p}(CR^{118})_{q}(CR^{1178})_{r}(CR^{1178})_{r}(CR^{1178})_{r}$$

wherein

30

35

25 preferably an acid anion such as methanesulfonate, trifluoromethanesulfonate, para-toluenesulfonate BF, bromide, chloride, iodide and sulfinate;

R¹¹² and R¹¹³ are individually aryl containing 6 to 20 carbon atoms; aralkenyl containing 6 to 20 carbon atoms, and alkyl containing 1 to 20 carbon atoms; or R¹¹² and R¹¹³ together represent the carbon atoms necessary to complete a 7- or 8-member cyclic structure;

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R¹¹⁴, R¹¹⁵, R¹¹⁶ R¹¹⁷ and R¹¹⁷and r¹¹⁷and r¹¹⁷and r¹¹⁷and r¹¹⁷and r¹¹⁷and r¹¹⁷and r¹¹⁸; cyano; carboxy; carboxamide; and carboalkoxy, containing 2 to 18 carbon atoms; at least one of R¹¹⁴, R¹¹⁵, R¹¹⁶, R¹¹⁷and r¹¹⁸and r¹¹⁷and r¹¹⁸and r¹¹⁷and r¹¹⁸

R¹¹⁸ is an electropositive or an electronegative group necessary to complete a chromophore, such as amino, anilino, nitrophenyl, quino, pyranyl, pyridyl, indolizinyl, julolidyl and thiopyranyl;

p, q, r, s and t are individually 0 or 1; any free bonds being satisfied by hydrogen or unsaturated bonding as required.

Aryl groups which are suitable for use as R^{112} and R^{113} substituents include unsubstituted or substituted phenyl, naphthyl, anthryl, methoxyphenyl and methoxynaphthyl.

Examples of aralkenyl groups which are suitable for use as R¹¹² and R¹¹³ substituents include 2,2-diphenylvinyl, 2-phenylvinyl, 2-naphthylvinyl and 2-methyl-(2-phenylvinyl).

Alkyl groups which are suitable for use as 25 R¹¹² to and including R¹¹⁷⁸ include methyl, ethyl, propyl, t-butyl, decyl and lauryl.

Examples of compounds within this class are as follows:

7-[2-(4-N,N-dimethylaminophenyl-1-ethenyl]2,3-diphenyl-1-indolizinonium fluoroborate

2,3-diphenyl-7-[2-(9-julolidyl)-1-ethenyl] 1-indolizinonium trifluoromethane sulfonate

2,3-diphenyl-7-[3-(2,3-diphenyl-4(4H)-pyranylidene_l-propenyl]-l-indolizinonium perchlorate

7-[2-(4-N, N-dimethylaminonaphthyl)-1ethenyl]-2,3-diphenyl-1indolizinonium fluoroborate

7-[4-(4-dimethylaminophenyl)-1-butadienyl]-1,2-diphenyl-3-indolizinonium trifluoro-methane sulfonate

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1-(3,5-di-tert-butyl-4-oxo-1-phenylidene)-2-[7-(2,3-diphenyl-1-(7H)-indolizinon-ylidene)]ethane

C(CH₃),

C(CH₃),

C(CH₃),

C(CH₃),

2,3-diphenyl-7-[2-(4-nitrophenyl)-l-ethenyl]-1-indolizinol sodium salt

Φ Na⊕

2,3-diphenyl-7-[2-(2,6-diphenyl-4-(4H)-pyranylidene)-1-ethylidene]-1-hydroxy-(7H)-indolizinium perchlorate

2,3-diphenyl-7-[2-(2,6-diphenyl-4-(4H)pyranylidene)-1-ethylidene]-1-acetoxy-(7H)indolizinium perchlorate

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7-(2,2-diacetyl-1-ethenyl)-2,3-diphenyl-1-indolizinol sodium salt

2,3-diphenyl-6-[2-(4-nitrophenyl)-1-ethenyl]-1-indolizinol

1-[7-(2,3-diphenyl-1-(7H)-indolizinonyli-dene)]-2-[4-(2,6-diphenyl-4(4H)-pyranyli-dene)]-ethane

7-(3,3-diacetyl-1-propenylidene)-2,3-diphenyl-1-(7H)-indolizinone

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7-[1-cyano-2-(4-dimethylaminophenyl)-1-ethenyl]-1,2-diphenyl-3-indolizinonium trifluoromethane sulfonate

CN CH₃)₂
CF₃SO₃⁶

1,2-di-tert-butylphenyl-7-[4-(4-dimethyl-aminophenyl)-1-(1,3-butadienyl)]-3-indol-izonium trifluoromethane sulfonate

0 ⊕ N - CH-CH-CH-CH-CH-CH_{CH},

CH₃

C(CH₃),

C(CH₃),

C(CH₃),

C(CH₃),

C(CH₃),

C(CH₃),

C(CH₃),

2,3-diphenyl-7-4-(2,6-diphenyl-4(4H)-pyranylidene)-2-(2-butenyl)-1-indolizinonium trifluoromethane sulfonste

25 CH, CH-CH-CH-CH-CH-CH-CTO, 6

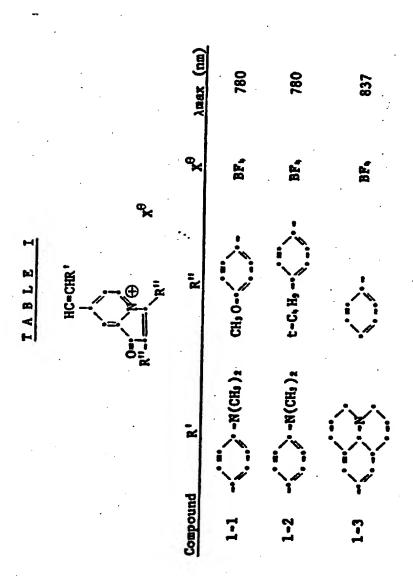
Additional compounds of this class are shown below in Tables I and II:

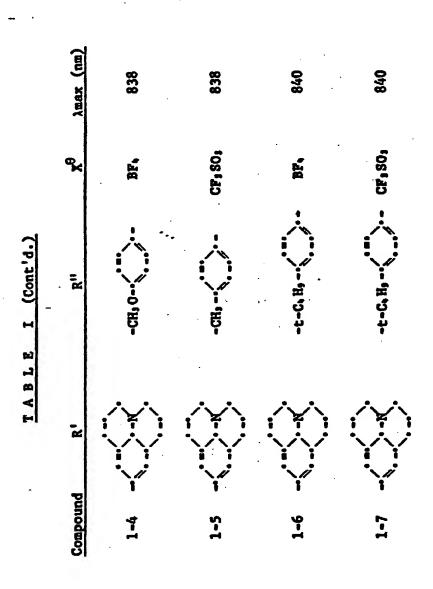
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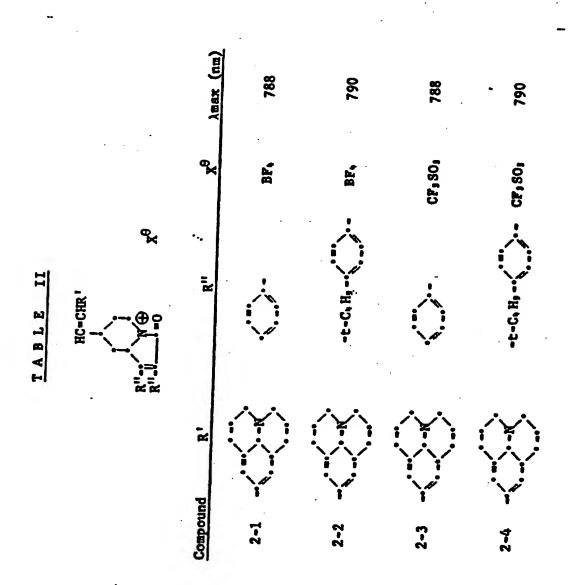
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A further class of dyes according to the invention is represented by the structural formula:

(XXII)

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10 wherein:

R¹¹⁹ and R¹²⁰ are individually aryl containing 6 to 20 carbon atoms, such as phenyl and naphthyl; or, alkyl containing 1 to 18 carbon atoms, such as methyl, ethyl, propyl, decyl and lauryl;

R¹²¹ is cyano, carboxy, formyl, acyl containing 2 to 18 carbon atoms, such as acetyl, propionyl and lauroyl; carboalkoxy containing 2 to 18 carbon atoms, such as carbomethoxy, carboethoxy and carbobutoxy; or aminocarbonyl containing 1 to 18 carbon atoms, such as unsubstituted aminocarbonyl, methylaminocarbonyl and dimethylaminocarbonyl which enables the compound to be a dye.

The compounds in this class are shown in the enol form, rather than the keto form. Examples of compounds within this class are as follows:

7-cyano-2,3-diphenyl-1-indolizinol

7-formy1-2,3-di-(4-methoxypheny1)-1-indolizino1

6-aminocarbonyl-2,3-diphenyl-1-indolizinol

8-carboethoxy-2,3-diphenyl-1-indolizinol

7-carboxy-2,3-diphenyl-1-indolizinol

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The oxoindolizine dyes according to the 10 invention are prepared by a method comprising reacting (A) a suitable pyridine compound with (B) a cyclopropenone compound, generally a photosensitive cyclopropenone. The resulting oxoindolizine or oxoindolizine compound is a new dye or a new dye is 15 produced from the resulting excindelizing or excindolizinium compound by reacting the product with an appropriate color-forming compound, such as a color-forming coupler. Such a method is illustrated by the preparation of dyes represented by formulas I 20 and II above comprising the steps:

(1) reacting (A) a pyridine compound with (B) a cyclopropenous compound and optionally

reacting the resulting product from (1)
with a color-forming compound, such as a
color-forming coupler, preferably in the
presence of an oxidizing or dehydrating
agent that catalyzes formation of a dye.
Some of the compounds produced in step (1)
are dyes which absorb in the visible region
of the electromagnetic spectrum.

Optimum methods for preparation of dyes according to the invention will vary, depending upon the desired dye, the starting material, the cyclopropenone, the color-forming coupler, the pyridine compound, solvents present, reaction

temperature, concentration of reactants and catalyst present. The cyclopropenone and pyridine compounds are mixed in about stoichiometric concentrations. However, it is often useful to mix the reactants with an excess of the pyridine compound to provide better yields or different isomers.

The reactants for forming a dye according to the invention can be mixed in a suitable reaction medium. For example, the cyclopropenone and pyridine compounds are mixed in an appropriate reaction medium, such as an organic solvent or medium that forms a coatable composition, for subsequent utilization of the dye which is formed.

A reaction medium which comprises a solvent for the reactants is most useful. A useful solvent includes, for example, pyridine, chlorinated hydrocarbons, such as methylene chloride and chlorobenzene, toluene, dioxane, and tetrahydrofuran. Pyridine and some pyridine related solvents, such as 4-picoline, are especially useful in producing isomers. The reactants are mixed at room temperature (about 19°C) and then heated to within the range of about 50 to about 150°C. The optimum reaction temperature will be influenced by the choice of solvent, the particular reactants, the desired dye, and other described factors.

When a dye according to the invention is formed by the reaction of a cyclopropenone with a pyridine compound and suitable color-forming compound, such as a color-forming coupler, it is preferred that the reaction be carried out in chemical association with an appropriate oxidant, such as elemental iodine, oxygen, copper bromide, copper chloride, copper acetate, benzoyl peroxide or copper acetyacetonate. The concentration of oxidant will vary, depending upon the particular reactants,

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processing conditions, desired dye, and reaction medium. An oxidant is especially useful in the reaction of a cyclopropenone with a pyridine compound and an active methylene coupler.

In preparing an oxoindolizine dye by the reaction of pyridine compound with a cyclopropenone compound, the condensation is generally carried out in a solvent. The concentration of reactants is generally about stoichiometric. However, an excess 10 of pyridine or picoline is often useful. The reaction temperature is generally within the range of 0°C to 95°C. The reaction is preferably carried out in chemical association with an oxidant. such as copper ions or air.

15 An especially useful method according to the invention comprises preparation of a dye represented by the structure (XXI) comprising reacting a compound represented by the structure

20 (XII)

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wherein

X⁶, R⁵⁸, R⁵¹ and R⁵² are as

defined above.

with an aldehyde or ketone represented by the formula

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(XXIV)

wherein

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R¹²² and R¹²³ are individually hydrogen or substituents that do not adversely affect the oxoindolizinium dys, such as alkyl containing 1 to 20 carbon atoms, for example, methyl, ethyl, propyl, butyl, decyl and lauryl; aryl containing 6 to 20 carbon atoms, such as phenyl, tolyl, and naphthyl; or a heterocyclic group, such as pyridyl and julolidyl; and at least one of R¹²² and R¹²³ is a monovalent group which completes a chromophore as defined. counds include, for example, pyrylium, and dimethylamino benzaldehyde and cinnimal-compounds. These reactants (XII) and (XXIV)

Such compounds include, for example, pyrylium, flavylium, dimethylamino benzaldehyde and cinnimal-dehyde compounds. These reactants (XII) and (XXIV) are reacted in about equimolar proportions in a suitable solvent, such as acetic anhydride, with or without a catalyst, such as piperidine or sodium acetate, at a temperature within the range of about 20°C to about 140°C. The resulting dye crystallizes from the medium or is precipitated by addition of a non-solvent, such as water, ethyl ether or cyclohexane. An example of such a method according to the invention is a method of preparing a dye represented by the formula:

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comprising the step:

(1) reacting a compound represented by the formula:

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10 with a compound represented by the formula:

Another method of preparing dyes according to the invention comprises reacting an indolization represented by the formula:

30 wherein

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R¹²⁴ and R¹²⁵ are individually aryl containing 6 to 14 carbon atoms, such as phenyl, xylyl, methoxyphenyl and naphthyl; or, alkyl containing 1 to 20 carbon atoms, such as methyl, ethyl, propyl, decyl and lauryl;

R¹²⁶ is hydrogen, cyano, carboxy, formyl, acyl containing 2 to 18 carbon atoms, such as acetyl, propionyl, and lauroyl; carboalkoxy containing 2 to 10 carbon atoms, such as carbomethoxy, carboethoxy and carbobutoxy; or aminocarbonyl containing 1 to 18 carbon atoms, such as unsubstituted aminocarbonyl, methylaminocarbonyl and dimethylaminocarbonyl; and alkyl containing 1 to 18 carbon atoms, such as methyl, ethoxy, propyl, butyl, decyl and lauryl;

R¹²⁷ is hydrogen or alkyl containing 1 to 4 carbon atoms, such as methyl, ethyl, propyl and butyl;

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R¹²⁰ is alkyl containing 1 to 18 carbon atoms, such as methyl, ethyl, propyl, butyl, decyl and lauryl; or aryl containing 6 to 14 carbon atoms, such as phenyl, tolyl, xylyl and naphthyl;

with an active methylene coupler, such as represented by formula (IX). The indolizinol represented by formula (XXV) and the active methylene coupler are reacted in about equimolar proportions in a suitable solvent, such as acetic anhydride, preferably with a catalyst, such as piperidine or sodium acetate, at a temperature within the range of 20°C to 140°C. The resulting dye crystallizes from the reaction medium and is preferably precipitated by the addition of a non-solvent, such as water, ethyl ether or cyclohexane.

Many oxoindolizine and oxoindolizinium dyes within Structures I and II are useful in imaging, such as in photothermographic imaging or in laser recording and reading applications. Especially useful dyes according to the invention are compounds that are image dyes or, alternatively, are capable of forming image dyes. Selection of an optimum

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indolizatione or indolization dye will depend upon such factors as the desired use, processing conditions, desired image, particular components with the dye, exposure means to form an image, and stability of the dye.

The following examples are included for a further understanding of the invention.

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-111-

Example 1 -- Preparation of 7,7'-(1,2-ethane-(E)-diylidene)bis-1,2-di-(4-tert-butyl-phenyl)-3(7H)-indolizinone

A solution (10 percent by weight) of 2,3-di(4-tertiarybutylphenyl) cyclopropenone, in 4-picoline (pyridine compound), was prepared . containing a trace of cupric acetate (catalyst). The solution was sparged with a stream of air to provide agitation and excess oxygen. The solution 10 was heated on a steam bath to 80°C to 95°C for 15 minutes. A pasty cyan-colored slurry resulted. The resulting mixture was filtered to remove excess picoline, and the colored solids washed with acetone. The solids were dried under vacuum to 15 remove the acetone-washed solvent. A 25 percent yield of the desired dye was obtained based on the cyclopropenone starting material. The dye had a maximum absorption at 695 nm in chloroform solution. The structure was confirmed by mass spectroscopy, nuclear magnetic resonance, infrared spectral analysis and x-ray diffraction.

Example 2 -- Preparation of 7-(4-Pyridy1)-2,3-di-(4-methoxyphenyl)indolizinol,
Benzyl Bromide Salt

25 Equimolar amounts of benzyl bromide and 4,4'-di-pyridine were dissolved in N,N-dimethyl-formamide to form approximately a 10 percent by weight solution. The solution was heated for 10 minutes on a steam bath at 95°C to form the quater-30 nary salt of bipyridine. The reaction mixture was cooled slightly, and an equimolar amount of 2,3-di-(4-methoxyphenyl) cyclopropenone was added to the solution. The reaction mixture was heated for 15 minutes and quenched in excess cold water. A solution of 48 percent hydrobromic acid was added to the

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water-N,N-dimethylformamide solution to precipitate the desired dye product. The precipitated dye was removed by filtration and dried under vacuum. The dye had a maximum absorption density at 535 nm in chloroform solution. The desired dye structure was confirmed by mass spectroscopy, nuclear magnetic resonance and infrared spectral analysis.

Example 3 -- Preparation of 7-Dibenzoylmethyl-idene-2,3-di(4-methoxyphenyl)-

10 1(7H)-indolizinone

A 10 percent solution of 2,3-di(4-methoxyphenyl) cyclopropenone in pyridine was refluxed under nitrogen for 15 minutes. The resulting solution was cooled slightly, and an equivalent amount 15 of dibenzoylmethane based on the cyclopropenone was added to the green solution. The reaction mixture was refluxed for 60 minutes. The resulting reaction mixture was again cooled, and four equivalents of iodine dissolved in a small amount of pyridine was 20 added to the reaction mixture. The mixture was further heated at 90°C on a steam bath for 15 minutes. The bright blue solution was quenched by pouring it into cold excess dilute hydrochloric acid. The desired dye precipitated and was removed 25 from the solution by filtering. A 95 percent yield of the desired dye was obtained based on the starting cyclopropenone. The dye was chromatographed on silica gel to provide a purified product. The maximum absorption of the dye was at 605 nm in chloro-30 form solution. The structure of the dye was confirmed by mass spectroscopy, nuclear magnetic resonance and infrared analysis. Example 4 -- Preparation of 7-Formy1-2,3-di(4-

methoxyphenyl)-1-indolizinol

Equivalent amounts of 4-formylpyridine and

2,3-di(4-methoxyphenyl) cyclopropenone were

dissolved in sufficient para-dioxane to form approximately a 10 percent solution. The mixture was refluxed at 102°C under nitrogen for 2 hours. Sufficient water was then added to the reaction mixture to bring it to the cloud point at 80°C. The reaction mixture was then cooled to room temperature, and the product allowed to crystallize. The crystals were collected by filtration, and washed with a small amount of water. The dried crystals 10 were the desired dye. The dye was produced in a 95 percent yield based on the amount of cyclopropenone. The yellow dye had a maximum absorption of 435 nm in chloroform solution. The structure of the dye was confirmed by mass spectroscopy, nuclear magnetic resonance and infrared analysis.

Examples 5-8 --

Other yellow dyes were prepared by a modification of the procedure described in Example 4.

The modification consisted of substituting the particular pyridine needed to obtain the desired dye for the 4-formyl pyridine described in Example 4. Structures were confirmed by mass spectrometry, nuclear magnetic resonance and elemental analysis.

Examples of the yellow dyes prepared are as follows:

Example 5:

7-carboxy1-2,3-diphenyl-1-indolizinol

Example 6:

7-carbomethoxy-2,3-di(4-tert-butylphenyl)-1-indolizinol

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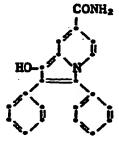
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Example 7:

7-aminocarbonyl-2,3-diphenyl-1-indolizinol

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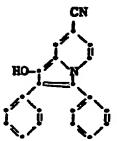


λmax 405

Example 8:

7-cyano-2,3-diphenyl-1-indolizinol

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λmax 410

Preparation of 1,2-di-(4-tert-butvlpheny1)-7-[4-(4-dimethylaminopheny1)-1-(1,3-butadienyl)]-3-indolizinonium trifluoromethanesulfonate

Equivalent amounts of 4-dimethylaminocinnamaldehyde and 1,2-di(tert-butylphenyl-7methyl-3-indolizinonyl trifluoromethane sulfonate were dissolved in acetic anhydride to form approximately a 10 percent solution. The reaction mixture 10 was heated at 70-90°C for five minutes, cooled to room temperature and diluted with diethyl ether and the resulting product collected by filtration. The crude product was recrystallized from acetone to furnish the desired dye.

15 Example 10 -- Preparation of 7-(4-dimethyl-aminophenyl)-2,3-diphenyl-1-indolizinonium fluoborate

A 10% solution of 1,2-diphenyl-1-indolizinonium triiodide in dimethylaniline was warmed at 20 70-90°C for 10 minutes. The resulting solution was cooled and diluted with diethyl ether and the resulting solid redis- solved in acetone. The desired dye was precipitated by the addition of dilute fluoboric acid to the solution.

25 Example 11 -- Preparation of 7-diethylamino-2,3diphenyl-1-indolizinonium fluoborate

A 10% solution of 2,3-diphenyl-1-indolizinonium triiodide in pyridine was treated with two equivalents of anhydrous diethyl amine and heated at 30 70-90°C for 15 minutes. The reaction mixture was cooled and poured into diethyl ether and filtered to furnish the crude dye. The dye was washed thoroughly with water to remove soluble salts to furnish purified product.

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Examples 12-14 -- Use of Dyes in Optical Disc for Laser Writing and Reading

Oxoindolizine and oxoindolizinium dyes for use in an optical disc were selected to provide the desired characteristics for laser writing and reading including among other characteristics, the desired solubility, absorption and stability characteristics.

ln each of the examples an optical disc for laser writing and reading was prepared by coating, on a support designed for an optical disc, a layer of an amorphous composition comprising a binder, such as cellulose nitrate, and an oxoindolizine or oxoindolizinium dye having an absorption at a wave-length at which the laser was tuned, such as a wavelength in the range of about 300 to about 1000 nanometers. Optical discs were prepared by techniques described in, for example, "Disc-Storage Technology" by Robert M. White, Scientific

American, August 1980, beginning at page 138, and Research Disclosure, November, 1978, Item No. 17522, the descriptions of which are incorporated herein by reference.

The dyes of Examples 12, 13 and 14 were
individually incorporated in a coating composition containing cellulose nitrate (binder) and cyclohexanone (solvent). The resulting compositions were coated on optical disc supports containing a reflective metal layer, such as aluminum. The resulting optical discs were imagewise exposed to a laser emitting at 800 nanometers pulsed at 10 MHz and a 50% duty cycle in a 30 KHz bandwidth to provide an image on each optical disc. Reading from the exposed optical discs was by monitoring the feedback from the same laser. The following dyes were tested in the video discs:

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	Example No.	<u>Dye</u>		
•	12	2,3-dipheny1-7-[2-(9-juloli-		
		dinyl)ethenyl]-1-oxo-1H-in-		
••		dolizinium trifluoromethane-		
5		sulfonate		
	13	2,3-bis(4-t-butylphenyl)-7-		
		[2-(9-julolidinyl)-ethenyl]-1-		
	,	oxo-lH-indolizinium tri-		
		fluoromethanesulfonate		
10	14	1,2-bis (4-t-butylphenyl)-7-		
		[2-(9-julolidinyl)-ethenyl]-		
		3-oxo-3H-indolizinium tri-		
	• •	fluoromethanesulfonate		

An image was recorded and read on each of the optical discs. The recording power at the discs was 12 mW in each case.

Example 15 -- Production of a Red Dye in a Coating
A solution was prepared containing 525 mg of

poly(ethylene-co-1,4-cyclohexylenedimethylene-l-methyl-2,4-benzenedisulfonamide)
(binder), 400 mg of l-methyl-4-(4-pyridyl)pyridinium-para-toluene-sulfonate (pyridine compound)
, and 9.980 g of 2-methoxyethanol (solvent). The

polysulfonamide binder and quaternary salt (pyridine compound) were dissolved in the 2-methoxyethanol by gentle agitation at room temperature (19°C). A clear lacquer solution resulted which was coated on a poly(ethylene terephthalate) film support at a wet coating thickness of 0.125 mm. The coating was dried by heating the material to about 24°C for 30 minutes in a stream of aix.

A second solution was prepared by dissolving 525 g of poly(styrene-co-butadiene) (KRO-3, which is a 35 trade name of and available from Phillips Petroleum

Company, U.S.A.), in 9.98 g of toluene with 40 mg of 1-phenyl-2-(para-methoxy- phenyl)cyclopropenone (photosensitive cyclopropenone compound). Solution was produced by stirring at 22°C for several hours.

- A clear lacquer solution resulted which was coated directly over the first layer containing the pyridine compound. A wet coating thickness of 0.125 mm was applied. The resulting composite two-layer element was dried by warming to 45°C for
- 30 minutes. The resulting element was exposed to a 250 watt mercury lamp for 20 seconds at a distance of 7.6 cm. The desired dye was produced by heating the element, after exposure, to 150°C for 3 seconds on a heated aluminum block. A brilliant red dye was 15 formed which had a produce along the seconds of the second which had a produce along the second which had a produce along the second second which had a produce along the second second second which had a produce along the second seco

15 formed which had a maximum absorption at 535 nm.

Example 16 -- Production of a Blue Dye in a Coating

A coating solution was prepared by dissolving 0.500 g of the polysulfonamide binder as described in Example 15 and 500 mg of 4-azastyryl1-methyl-pyridinium para-toluenesulfonate (pyridine compound) in 10 g of 2-methoxyethanol (solvent)

compound) in 10 g of 2-methoxyethanol (solvent). Solution was produced by stirring at room temperature (19°C). A clear lacquer solution resulted which was coated on a poly(ethylene terephthalate)

25 film support by means of a doctor blade to produce a wet coating thickness of 0.125 mm. The resulting coating was dried by heating the coating to about 24°C for 30 minutes in a stream of air.

A second solution was prepared by dissolving 25 mg of phenylanisyl cyclopropenone and 0.50 g
of poly(styrene-co-butadiene) resin in 10.0 g of
toluene. A clear solution which resulted upon
stirring the mixture for 3 hours at room temperature
(19°C) was coated directly over the first layer

35 containing the pyridine compound. A wet coating

thickness of 0.125 mm was applied by means of a doctor blade. The composite two-layer element was dried by warming the resulting coating to about 24°C for 30 minutes in a stream of air. A brilliant clear transparent film was obtained.

The resulting element was imagewise exposed and then heated as described in Example 15. A blue dye was formed which had a maximum absorption at 575 nm.

- A coating solution was prepared by dissolving 0.50 g of poly(styrene-co-butadiene) resin and 125 mg of 4,4'-dipyridylethylene (pyridine compound) in 10.0 g of toluene (solvent). A clear solution
- which resulted upon stirring at room temperature (19°C) was costed on a poly(ethylene terephthalate) film support containing a gelatin subbing layer at a wet coating thickness of 0.125 mm. The resulting coating was dried by heating to 24°C for 30
- 20 minutes. A second layer was coated over the layer containing the pyridine compound. This second layer was prepared from a coating solution produced by dissolving 0.50 g of poly(vinyl alcohol) in 9.50 g of water. The composition containing the poly-
- 25 (vinyl alcohol) was coated at a wet coating thickness of 0.125 mm over the first layer. The resulting composite film was dried by heating to 24°C for 30 minutes. A top layer was prepared by coating a solution containing 125 mg of photosensitive
- 30 phenylanisyl cyclopropenone and 0.50 g of poly-(styrene-co-butadiene) dissolved in 10.0 g of toluene. The top layer was coated at a wet coating thickness of 0.125 mm. The resulting composite film was dried for 30 minutes at 24°C in an air stream.
- 35 The composite film was imagewise exposed for 40

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seconds and then heated as described in Example 15. A dye was produced which had a maximum absorption in the infrared region of the electromagnetic spectrum at 815 nm.

5 Example 18 -- One Layer Element

A coating solution was prepared by dissolving .50 g of poly(styrene-co-butadiene) resin, 40 mg of o,p-dianisylcyclopropenone (photosensitive cyclopropenone), and 40 mg of 1,2-bis(4-pyridyl)-ethylene (pyridine company) in 10.0 c of column

- o ethylene (pyridine compound) in 10.0 g of toluene. The solution was coated on a poly(ethylene terephthalate) film support at a wet coating thickness of 0.125 mm. The coating was dried by standing at 24°C for two hours. The resulting
- element was exposed to a 250 watt mercury lamp for 20 seconds at a distance of 7.6 cm through a mask to produce a developable image in the photographic element. The desired dye was produced by heating the element, after exposure, to 150°C for 10 seconds
- on a heated aluminum block. An infrared dye was formed in the film with a maximum absorbtion at 830 nm.

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CLAIMS:

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1. A method of preparing an oxoindolizine or an oxoindolizinium dye compound including the steps of:

1) reacting (A) a pyridine compound having hydrogen atoms on the carbon atoms ortho to the heterocyclic nitrogen atom, with (B) a cyclopropenone compound; and optionally reacting the resulting product from (1) with

reacting the resulting product from (1) with a color-forming compound preferably in the presence of an oxidant that catalyzes the

formation of said dye compound.

2. A method according to Claim 1 characterized in that said dye compound has the formula:

$$R^{2} = \begin{bmatrix} R^{3} & \\ \vdots & \vdots \\ R^{1} - \vdots & \\ 0 & \end{bmatrix}$$

wherein:

R¹ and R² are individually alkyl containing 1 to 18 carbon atoms; aryl containing 6 to 20 carbon atoms; or polystyryl having appended indolizine or indolizinium groups or combinations thereof; R³ is a divalent group which, with the indolizinone nucleus, completes an organic chromophore;

R* is hydrogen; alkyl containing 1 to 18 carbon atoms; cyano; acyl containing 2 to 20 carbon atoms; carboalkoxy containing 2 to 18 carbon atoms; aminocarbonyl containing from 1 to 18 carbon atoms;

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acyloxy containing 2 to 18 carbon atoms; bromine or chlorine; and

R^s is hydrogen; chlorine; bromine or alkyl containing 1 to 18 carbon atoms;

which dye compound is prepared by

1) reacting (A) a pyridine compound having the formula:

R11 R11

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with (B) a cyclopropenone compound represented by the formula:

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wherein

R¹¹ is hydrogen; alkyl containing 1 to 18 carbon atoms; cyano; acyl containing 2 to 20 carbon atoms; carboalkoxy containing 2 to 18 carbon atoms; aminocarbonyl containing 1 to 18 carbon atoms; acyloxy containing 2 to 18 carbon atoms; bromine or chlorine;

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R¹² is hydrogen; alkyl containing 1 to 18 carbon atoms; cyano; acyl containing 2 to 20 carbon atoms; benzyl; or pyridyl; R¹³ is hydrogen; chlorine; bromine or alkyl containing 1 to 18 carbon atoms; and R¹⁴ and R¹⁵ are individually aryl containing 6 to 20 carbon atoms, aralkenyl containing 6 to 20 carbon atoms; alkyl containing 1 to 18 carbon atoms; or R¹⁴ and R¹⁵ together represent the carbon atoms necessary to complete a 7- or

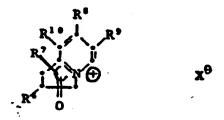
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-123-

8-member cyclic structure; and optionally
2) reacting the resulting product from (1)
with a color-forming compound preferably in the
presence of an oxidant that catalyzes the formation
of said dye.

3. A method according to Claim 1 characterized in that said dye compound has the formula:

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wherein

X⁰ is an anion;

R⁶ and R⁷ are individually alkyl containing 1 to 18 carbon atoms; aryl containing 6 to 20 carbon atoms; or polystyryl having appended indolizing or indolizinium groups or combinations thereof;

R° is a monovalent group which, with the indolizinium nucleus, completes an organic chromophore;

R⁹ is hydrogen; alkyl containing 1 to 18 carbon atoms; cyano; acyl containing 2 to 20 carbon atoms; carboalkoxy containing 2 to 18 carbon atoms; aminocarbonyl containing 1 to 18 carbon atoms; acyloxy containing 2 to 18 carbon atoms; bromine; or chlorine; and

R10 is hydrogen; chlorine; bromine; or,

alkyl containing 1 to 18 carbon atoms; which dye compound is prepared by

1) reacting (A) a pyridine compound having the

reacting (A) a pyridine compound having the formula:

vith (B) a cyclopropenone compound represented by the formula:

wherein:

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R¹¹ is hydrogen; alkyl containing 1 to 18 carbon atoms; cyano; acyl containing 2 to 20 carbon atoms; carboalkoxy containing 2 to 18 carbon atoms; aminocarbonyl containing 1 to 18 carbon atoms; acyloxy containing 2 to 18 carbon atoms; bromine or chlorine;

R¹² is hydrogen; alkyl containing 1 to 18 carbon atoms; cyano; acyl containing 2 to 20 carbon atoms; benzyl; or pyridyl;

R¹³ is hydrogen; chlorine; bromine or alkyl containing 1 to 18 carbon atoms; and

R¹⁴ and R¹⁵ are individually aryl containing 6 to 20 carbon atoms; aralkenyl containing 6 to 20 carbon atoms; alkyl containing 1 to 18 carbon atoms; or R¹⁴ and R¹⁵ together represent the carbon atoms necessary to complete a 7- or 8-member cyclic structure; and optionally

35 2) reacting the resulting product from (1) with a color-forming compound preferably in the presence of an oxidant that catalyzes the formation of said dye;

the anion X Dbeing provided by the oxidant or color-forming compound (if used) or otherwise.

4. A dye compound having the formula:

wherein

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R¹ and R² are individually alkyl containing 1 to 18 carbon atoms; aryl containing 6 to 20 carbon atoms; or polystyryl having appended indolizine or indolizinium groups or combintaions thereof;

R³ is a divalent group which, with the indolizinone nucleus, completes an organic chromophore;

R⁴ is hydrogen; alkyl containing 1 to 18 carbon atoms; cyano; acyl containing 2 to 20 carbon atoms; carboalkoxy containing 2 to 18 carbon atoms; aminocarbonyl containing 1 to 18 carbon atoms; acyloxy containing 2 to 18 carbon atoms; bromine or chlorine; and

R⁵ is hydrogen; chlorine; bromine or alkyl containing 1 to 18 carbon atoms.
5. A dye compound having the formula:

(II)

wherein

XD is an anion;

R⁶ and R⁷ are individually alkyl containing 1 to 18 carbon atoms; aryl containing 6 to 20 carbon atoms; or polystyryl having appended indolizine or indolizinium groups or combinations thereof;

R⁸ is a monovalent group which, with the indolizinium nucleus, completes an organic chromophore;

R⁹ is hydrogen; alkyl containing 1 to 18 carbon atoms; cyano, acyl containing 2 to 20 carbon atoms; carboalkoxy containing 2 to 18 carbon atoms; aminocarbonyl containing 1 to 18 carbon atoms; acyloxy containing 2 to 18 carbon atoms; bromine or chlorine; and

R¹⁰ is hydrogen; chlorine; bromine; or alkyl containing 1 to 18 carbon atoms.

6. A dye compound having the formula:

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wherein:

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R⁶⁸ is hydrogen; alkyl containing 1 to 18 carbon atoms; cyano; acyl containing 2 to 20 carbon atoms; carboalkoxy containing 2 to 18 carbon atoms; aminocarbonyl containing 1 to 18 carbon atoms; acyloxy containing 2 to 18 carbon atoms; bromine; or chlorine;

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R⁶⁹ is hydrogen; chlorine; bromine; or alkyl containing 1 to 18 carbon atoms; R⁷⁰ and R⁷¹ are individually alkyl containing 1 to 18, preferably 1 to 10 carbon atoms; or aryl containing 6 to 20 carbon atoms; R^{72} and R^{73} are individually hydrogen: alkyl containing 1 to 22 carbon atoms, aryl containing 6 to 20 carbon atoms; amino; carboxamido; sulfonamido; sulfamyl; carbamyl; halogen; alkoxy containing 1 to 18 carbon atoms; or R72 and R⁷³ taken together represent the atoms necessary to complete a benzo group; R⁷⁴ and R⁷⁵ are individually hydrogen; hydroxy; alkyl containing 1 to 22 carbon atoms; aryl containing 6 to 20 carbon atoms; amino; carboxamido;

sulfonamido; sulfamyl; carbamyl; halogen; or alkoxy containing 1 to 18 carbon atoms.

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EUROPEAN SEARCH REPORT

Application number

EP 82303381.6

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Annexes to 409 Oath/ Declaration (executed)						
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35 U.S.C. 371 - Receipt of Request (PTO-1390)						
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Date of Completion of requirements under 35 U.S.C. 371						
102(e) Date						
Date of Completion of DO/ EO 906 - Notification of Missing 102(e) Requirements						
Date of Completion of DO/ EO 907 - Notification of Acceptance for 102(e) Date						
Date of Completion of DO/ EO 911 - Application Accepted Under 35 U.S.C. 111						
Date of Completion of DO/ EO 905 - Notification of Missing Requirements						
Date of Completion of DO/ EO 916 - Notification of Defective Response						
Date of Completion of DO/EO 903 - Notification of Acceptance						
Date of Completion of DO/ EO 909 - Notification of Abandonment						